

Characterizing the negative triangularity reactor core operating space with integrated modeling

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Abstract. Negative triangularity (NT) has received renewed interest as a fusion reactor regime due to its beneficial power-handling properties, including low scrape-off layer power and a larger divertor wetted area that facilitates simple divertor integration. NT experiments have also demonstrated core performance on par with positive triangularity (PT) H-mode without edge-localized modes (ELMs), encouraging further study of an NT reactor core. In this work, we use integrated modeling to scope the operating space around two NT reactor strategies. The first is the high-field, compact fusion pilot plant concept MANTA (The MANTA Collaboration *et al* 2024 *Plasma Phys. Control. Fusion* **66** 105006) and the second is a low field, high aspect ratio concept based on work by Medvedev *et al* (Medvedev *et al* 2015 *Nucl. Fusion* **55** 063013). By integrating equilibrium, core transport, and edge ballooning instability models, we establish a range of operating points with less than 50 MW scrape-off layer power and fusion power comparable to positive triangularity (PT) H-mode reactor concepts. Heating and seeded impurities are leveraged to accomplish the same fusion performance and scrape-off layer exhaust power for various pressure edge boundary conditions. Scans over these pressure edge conditions accommodate any current uncertainty of the properties of the NT edge and show that the performance of an NT reactor will be extremely dependent on the edge pressure. The high-field case is found to enable lower scrape-off layer power because it is capable of reaching high fusion powers at a relatively compact size, which allows increased separatrix density without exceeding the Greenwald density limit. Adjustments in NT shaping exhibit small changes in fusion power, with an increase in fusion power density seen at weaker NT. Infinite- n ballooning instability models indicate that an NT reactor core can reach fusion powers comparable to leading PT H-mode reactor concepts while remaining ballooning-stable. Seeded krypton is leveraged to further lower scrape-off layer power since NT does not have a requirement to remain in H-mode while still maintaining high confinement. We contextualize the NT reactor operating space by comparing to popular PT H-mode reactor concepts, and find that NT exhibits competitive ELM-free performance with these concepts for a variety of edge conditions while maintaining relatively low scrape-off layer power.

1 Introduction

While great progress has been made in the fusion energy field toward power-plant relevant plasma performance and divertor technology, a major outstanding challenge remains: the coupling of

a high-performance core to a realistic exhaust solution. This challenge has shifted the focus of some plasma core modeling efforts away from maximizing power output and toward optimizing power handling potential. Fusion pilot plant (FPP) tokamak concepts have been dominated

by positive triangularity (PT) plasmas operating in high confinement mode (H-mode). H-mode is accessed when a PT plasma is given sufficient heating and fueling and is characterized by the formation of an edge transport barrier with high pressure gradients called a pedestal [1, 2]. Given its higher confinement over operational modes that lack a significant pressure pedestal, H-mode is generally seen as a desirable regime for an FPP. However, the power through the scrape-off-layer P_{SOL} must be above the L-H mode transition power P_{LH} estimated by scaling laws [3] to sustain H-mode. In a PT H-mode reactor-class device, this leads to heat fluxes that will be difficult for plasma facing components to sustain without an advanced divertor [4]. Even if we assume we can advance divertor and material technology to sustain reactor-level H-mode heat loads, H-mode bears yet another challenge: it is accompanied by edge localized modes (ELMs) [5]. ELMs are instabilities that can result in large energy fluences to plasma facing materials if not mitigated in some way [4]. As power, current, and magnetic field are increased to reactor-relevant levels, the machine damage from ELMs is likely to be intolerable [4, 6]. Of particular concern are type-I ELMs as they are the largest and most common [7], while the smaller type-II and type-III ELMs may be tolerable by future reactor-class fusion devices [8].

There are multiple regimes that exhibit higher confinement than the traditional low-confinement mode (L-mode) without type-I ELMs. These include I-mode, QH-mode, EDA H-mode, and quasi-continuous exhaust (QCE), among others [9–13]. While PT no-ELM or small-ELM regimes are better than PT H-mode for device longevity, they often have sensitive access conditions depending on the heating and fueling scheme or do not yet provide sufficient fusion performance improvement over L-mode to support a realistic FPP design [11].

Recently, the negative triangularity (NT)

regime has resurfaced as a potential solution to the core-edge integration challenge in a reactor-class tokamak [14, 15]. The “upper” and “lower” triangularities of a toroidal plasma are defined as $\delta_{u,l} = (R_{\text{geo}} - R_{u,l})/a$, where R_{geo} is the geometric major radius, R_u is the major radius at the highest point of the separatrix, R_l is the major radius at the lowest point of the separatrix, and a is the plasma minor radius. The average triangularity δ is the mean of the upper and lower triangularities. If a plasma has negative lower triangularity ($R_l > R_{\text{geo}}$), the lower x-point is located at a larger major radius than the x-point of a plasma with a positive lower triangularity. This allows for more space for a divertor and a larger divertor-wetted area, both of which are beneficial from a power-handling perspective [15, 16]. Further, the NT regime has been shown to be ELM-free as long as the triangularity is sufficiently negative, even in cases where P_{SOL} exceeds P_{LH} by a significant margin [17].

Importantly, experiments have shown that a plasma with NT shaping can exhibit improved confinement over PT L-mode plasmas with otherwise similar parameters (current, magnetic field, shape, and auxiliary heating) in both DIII-D [14, 18, 19] and TCV [20–22]. This improved confinement is attained without entering H-mode, so P_{SOL} is not required to be greater than P_{LH} as it would be in PT H-mode, which allows for the use of techniques like seeding noble gas impurities in NT to further lower P_{SOL} while maintaining plasma performance [23–25]. Recent experiments on DIII-D have also extended the observed operating space in NT to reactor-relevant levels in non-dimensional parameters [26, 27]. In a diverted configuration, DIII-D NT plasmas simultaneously exhibited $\beta_N > 3$, $f_{\text{Gr}} > 1$, and $q_{95} < 3$ with $H_{98y2} > 1$ where β_N is the normalized plasma beta, f_{Gr} is the Greenwald fraction [28], q_{95} is the safety factor at $\psi_N = 0.95$, and H_{98y2} is normalized confinement time from the IPB98(y,2)

energy confinement scaling law [29]. Additionally, recent gyrokinetic simulation work has found the reduction in turbulent transport in NT to be independent of machine size [30], further encouraging the use of NT in a reactor-class device.

While a few NT FPP designs have been proposed at varying levels of fidelity [15, 16, 31], these studies primarily focused on one design point and the performance trade-offs between various input parameters have not yet been fully established. To provide greater context for these trade-offs, we evaluate the performance of a reactor-class NT tokamak around two published operating points: the MANTA design [31] and the larger design from Medvedev *et al* [16]. We accomplish this by using the STEP code [32], which facilitates predictive integrated modeling through easy and self-consistent data transfer between various equilibrium, stability, and transport codes. The two NT design points studied in this work differ most notably in size, magnetic field, and current, as described in section 2. Specifically, we investigate changes to P_{fus} and P_{SOL} that result from changes in temperature and density profiles, auxiliary power P_{aux} , seeded impurity fraction f_{imp} , triangularity δ , and major radius R_{maj} . The core effects from changes in toroidal magnetic field B_t , volume, and plasma current I_P will be investigated through comparison of the smaller volume, higher magnetic field, lower current MANTA design and the larger volume, lower magnetic field, higher current Medvedev design. Of particular interest to us is the effect of the edge pressure boundary condition on fusion performance in NT, as a full characterization of the NT edge is currently absent from the literature.

In section 2, we introduce the high-field (MANTA-like [31]) and high-volume (Medvedev-like [16]) base cases around which we analyze NT reactor performance. We describe the integrated modeling workflow used with the STEP code [32]

for the majority of simulations in this work. The density profile changes from a reactor-relevant particle source, i.e., a particle source localized toward the plasma edge, are investigated. We determine that the Angioni scaling [33] predicts a density peaking similar to that which would be evolved to in TGYRO from a near-edge particle source. Thus we implement a pseudo-evolving scheme for density for simplicity in subsequent scans, as described in section 2.3. In section 4, we discuss P_{fus} density changes from δ and R_{maj} scans in a high-field core, and find that geometry is not as leveraging as the electron pressure at $\rho = 0.8 p_{e,0.8}$. In section 3, we discuss the NT edge and its present uncertainty. Due to the lack of a physics-based predictive model of an NT edge, we scan various temperature and density edge boundary conditions ($T_{0.8}$ and $n_{e,0.8}$, respectively) and find that P_{fus} and P_{SOL} are both highly dependent on both $T_{0.8}$ and $n_{e,0.8}$. We use infinite- n ballooning stability codes on the region beyond TGYRO evolution ($\rho = 0.8$ to $\rho = 1.0$) to determine that a $H_{98y2} \approx 1$ (confinement is what would be expected from a PT H-mode plasma with similar parameters) and $f_{\text{Gr}} \approx 1$ high-field NT operating point is likely feasible from a ballooning stability standpoint for a variety of potential pedestal widths. In section 5, we evaluate the impact of P_{aux} on $T_{0.8}$, and find that a relatively high temperature boundary condition is required for sufficient P_{fus} and cannot be overcome by additional P_{aux} . We compare the performance of NT reactor-like core scenarios at various $T_{0.8}$ and P_{aux} to other published FPP concepts. In section 6, we determine that at levels of intrinsic impurities (helium, tungsten) assumed by other FPP designs, there is minimal effect on fusion power when compared to the effect of seeded impurities utilized in this work (krypton). Including krypton at various concentrations results in a nearly linear downward trend in P_{SOL} from increased impurity fraction and a potential for using impurity fraction to optimize P_{fus} .

Table 1: Summary of select approaches to a tokamak FPP. Parameters included are toroidal magnetic field B_t , plasma current I_P , major radius R_{maj} , minor radius a_{minor} , triangularity at the separatrix δ_{sep} , triangularity at the $\psi_N = 0.95$ flux surface δ_{95} , normalized pressure β_N , normalized confinement H_{98y2} , fusion power P_{fus} , power from heating and current drive $P_{\text{H\&CD}}$, and scrape-off layer power P_{SOL} . For the MANTA entry $P_{\text{H\&CD}}$ is equivalent to the variable P_{aux} in this work.

	MANTA [31]	ARC [34, 35]	ARIES-ACT2 [36]	EU-DEMO 2018 [37]
B_t (T)	11	9.2	8.75	5.86
I_P (MA)	10	7.8	14	18
R_{maj} (m)	4.6	3.3	9.75	9
a_{minor} (m)	1.2	1.1	2.44	2.9
δ_{sep}	-0.5	0.375	0.63	$\delta_{95} = 0.33$
β_N	1.25	2.6	2.6	2.5
H_{98y2}	0.79	1.8	1.22	0.98
P_{fus} (MW)	451	525	2600	2012
$P_{\text{H\&CD}}$ (MW)	39	39	106	50
P_{SOL} (MW)	24	~ 94	~ 336	170

2 Simulation setup and methods

2.1 Establishing a high-volume and a high-field NT reactor base case

There are currently two main strategies to reach reactor-relevant performance in tokamaks: the high-volume approach and the high-field approach. For PT H-mode, two notable FPP designs utilizing the high-volume approach are ARIES-ACT2 [36] and EU-DEMO (2018) [37]. Meanwhile, the high-field approach is well-represented by ARC-class devices [34] and generally by the SPARC project [38]. For reference, basic parameters describing these concepts are displayed in table 1. It is of note that the EU-DEMO project has abandoned H-mode as its primary plasma scenario, instead moving toward no-ELM regimes like QH-mode and I-mode due to the high risks associated with type-I ELMs and their mitigation [37]. The reference to EU-DEMO (2018) here is for comparative purposes of NT to PT H-mode FPP tokamaks, highlighting it as a viable solution to the type-I ELM problem. In this work, we initialize a

base case for both the high-volume and high-field strategies applied to NT, using work by Medvedev *et al* [16] and the MANTA collaboration *et al* [31] as starting points for the high-volume and high-field strategies, respectively. This enables us to evaluate advantages and disadvantages of both strategies in the NT operating space.

MANTA (Modular, Adjustable, Negative Triangularity ARC) is a high-field ($B_t \approx 11\text{T}$) NT FPP design. It is compliant with requirements laid out in the National Academy of Science, Engineering and Medicine’s (NASEM) report “Bringing Fusion to the U.S. Grid” [39], made possible in part by utilizing a FLiBe liquid immersion blanket, demountable HTS magnet joints, seeded krypton, and an NT core that exhibits sufficiently high confinement without ELMs. The simple divertor design of MANTA requires that P_{SOL} be below 40 MW for a separatrix density of $0.9 \times 10^{20}/\text{m}^3$ [40]. Fusion power is additionally constrained to be within 400-500 MW when using 40 MW of auxiliary heating to meet the NASEM net electric goal of ≥ 50 MWe [31].

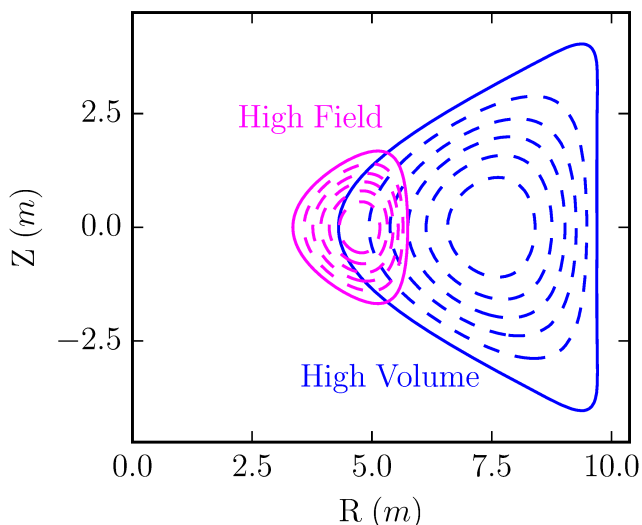


Figure 1: The equilibrium cross sections of the NT FPP reference cases considered in this work are plotted in R and Z coordinates. The high-field case ($B_t = 11$ T) is in pink and the high-volume case ($B_t = 6.2$ T) is in blue.

Compared to MANTA, the design outlined in Medvedev *et al.* is a lower field ($B_t \approx 6$ T) larger major radius ($R_{\text{maj}} \approx 7$ m) NT tokamak [16]. The primary focus of [16] was the MHD stability of a theoretical NT reactor-class design. As such, only the pressure profile is reported in [16]; the density and temperature profiles are not explicitly constrained. To facilitate a direct comparison of a high-volume NT case with a high-field NT case, we use the PRO-create module in OMFIT [41] to initialize similar profiles in the high-volume case to those in MANTA. Due to the high volume, the separatrix density had to be lowered significantly to avoid exceeding the Greenwald limit [28], and consequently the $n_{e,0.8}$ scans performed in section 3 are over lower values than in the high-field case.

All scans in this work are done around either the high-volume or high-field operating points with $H_{98y2} \approx 1$ and $f_{\text{Gr}} \approx 1$ outlined in table 2. Parameters taken directly from references [31] and [16] are in bold. The remaining parameters result

from integrated modeling of these operating points. Figure 1 shows the equilibrium cross sections of both reference cases in R and Z coordinates. The simulated equilibria in this work are all up-down symmetric. As will be discussed in section 3, there is significant uncertainty in predicting the edge condition for an NT FPP. To partially accommodate this uncertainty, we restrict the edge of our high-volume and high-field base cases via upper bounds on the normalized parameters H_{98y2} and f_{Gr} because they are both largely affected by the edge pressure condition in any plasma. Reactor-class fusion devices may be able to operate at $f_{\text{Gr}} > 1$ because they will exhibit higher power densities than current devices [42]. Supporting this idea, non-ELMing NT plasmas have accessed $H_{98y2} > 1$ simultaneously with $f_{\text{Gr}} > 1$ on DIII-D [26, 27]. For these reasons, we chose to establish the high-volume and high-field base cases with normalized confinement time and density $H_{98y2} \approx 1$ and $f_{\text{Gr}} \approx 1$, respectively. Obtaining $H_{98y2} \approx 1$ and $f_{\text{Gr}} \approx 1$ is accomplished by varying the temperature and density at $\rho = 0.8$ until a converged solution is found, where the method to find a converged solution is described below in subsection 2.2.

2.2 Integrated modeling with the STEP code

The integrated modeling in this work was performed with the STEP (Stability, Transport, Edge, Pedestal) code [32]. STEP enables self-consistent iteration between various OMFIT [41] modules. We utilize CHEASE [43] for equilibrium calculations, TGYRO [44] with TGLF [45] for transport, and BALOO [46] for infinite- n ballooning instability. It is of note that bootstrap current was not included in the simulations in this work except in the discussion of ballooning stability in the edge in subsection 3.1, but the inclusion of bootstrap current is not expected to alter the results of the core significantly. TORIC [47] was used on the MANTA core scenario to

Table 2: Parameters for the high-field (MANTA-like) and high-volume (Medvedev-like) operating points with $f_{\text{Gr}} \approx 1$ and $H_{98y2} \approx 1$. Bolded numbers are the parameters taken directly from the original MANTA [31] and Medvedev [16] configurations. Non-bolded numbers are from converged simulations of these cases in TGYRO/TGLF. Brackets indicate volume average.

	High-field	High-volume
B_t (T)	11	6.2
I_P (MA)	10	15
R_{maj} (m)	4.6	7.0
a_{minor} (m)	1.2	2.7
δ	-0.5	-0.9
κ	1.4	1.5
β_N	1.9	1.7
q_{95}	2.6	3.5
P_{aux} (MW)	40	40
P_{fus} (MW)	966	879
P_{SOL} (MW)	91	149
P_{rad} (MW)	142	67
H_{98y2}	1.0	0.95
f_{Gr}	0.94	1.05
$\langle n \rangle$ ($10^{20}/m^3$)	4.1	1.4
$\langle T_e \rangle$ (keV)	9.4	9.2
$\langle T_i \rangle$ (keV)	9.1	8.8
$n_{e,0.8}$ ($10^{20}/m^3$)	1.8	0.58
$T_{0.8}$ (keV)	6.8	6.4

provide heat deposition profiles which were passed to the STEP workflow through the CHEF [48] module. A diagram of this workflow is shown in figure 2. TORIC was not used to solve for heating in the high-volume case. Heating for the high-volume case was instead copied from the heat deposition profiles solved for in MANTA and scaled as needed. TGYRO is a physics-based transport solver that uses NEO for neoclassical transport calculations and TGLF for turbulent transport calculations with moderate

computational cost.

The modules mentioned thus far are primarily made for and/or trained on PT plasmas, and as such may not capture all NT effects. A significant distinction in modeling an NT plasma with the STEP workflow is determining the allowable pressure and pressure gradients at the core-edge boundary, which must be informed by experiment. In PT plasmas, the EPED edge model [49] is available to capture type-I ELMy pedestals. While the geometry change is taken into account in terms of surface area and volume, we note that TGLF is not a full gyrokinetic model, so there may be gyrokinetic effects of NT shaping that are not accounted for. However, gyrokinetic analysis done in other work has implied that the improved confinement of NT is likely due to gradients beyond $\rho = 0.8$ [50, 51], and this is likely what causes TGYRO to under predict performance on NT experiments [50–52]. Gradients in this region are subject to stronger triangularity shaping, as toroidal plasmas become more circular farther from the edge. For example, in the high-field base case, triangularity increases from about -0.5 to -0.3 from $\rho = 1.0$ to $\rho = 0.8$. Even so, reference [30] found that even though the effect is stronger at high radii, NT exhibits reduced transport over PT at low radii as well. Additionally, TGYRO/TGLF has been shown to reliably recreate DIII-D NT shots with various saturation rules [53, 54], increasing the confidence with which we can apply these models to a reactor concept. Integrated modeling using TGLF with SAT-2 was also found to reasonably match NT experiments on AUG [52] and TCV [50]. We primarily use the SAT-2 saturation rule in TGLF because it has been shown to better match experiment than SAT-0 at high powers and includes geometry effects that SAT-0 and SAT-1 do not [55]. Unless otherwise stated, all simulations in this work evolve the ion and electron temperature profiles independently from $\rho = 0.8$ to $\rho = 0$ with TGYRO/TGLF, using the ICRH heating

profiles that were simulated for MANTA in TORIC with CQL3D[56] (with scaling as needed). The boundary being defined at $\rho = 0.8$ follows work done in reference [54]. While fidelity would be increased if this boundary were defined further into the edge region, we trade this increase in fidelity for increased simplicity to allow for characterization of a larger operating space. All density profiles are held constant with electron density profiles at the Angioni peaking pk_{Angioni} given by

$$pk_{\text{Angioni}} = 1.347 \pm 0.014 - (0.117 \pm 0.005) \log(\nu_{\text{eff}}) + (1.331 \pm 0.117) \Gamma_{\text{NBI}}^* - (4.030 \pm 0.810) \beta \quad (1)$$

copied from equation 3 in reference [33]. Impurity density profiles are scaled with electron density. Krypton is also included at a fraction of 0.001 as a seeded impurity with an otherwise 50/50 deuterium/tritium fuel mix in all simulations unless otherwise noted. The use of impurities is elaborated on in section 6. The line radiation from any included impurities is solved for in TGYRO/TGLF along with bremsstrahlung and synchrotron radiation.

Only simulations fully converged in TGYRO are shown in this work. The definition of “full” convergence for purposes of this work is described in Appendix A.

2.3 Density pseudo-evolution using density peaking scaling law

Due to the relatively large size and high-field of a reactor-class tokamak, particle sources in a reactor are likely to be confined to the edge region, outside of $\rho = 0.8$. To assess the effect on density peaking pk of a particle source in this region, we scan a Gaussian electron source from $\rho = 0.7$ to $\rho = 0.9$ in a MANTA-like core scenario. In these scans, TGYRO/TGLF with SAT-0 converges the density profile to one that has no more than a 2% deviation from the peaking predicted by the Angioni scaling [33] pk_{Angioni} , as shown in figure 3. SAT-0 was used

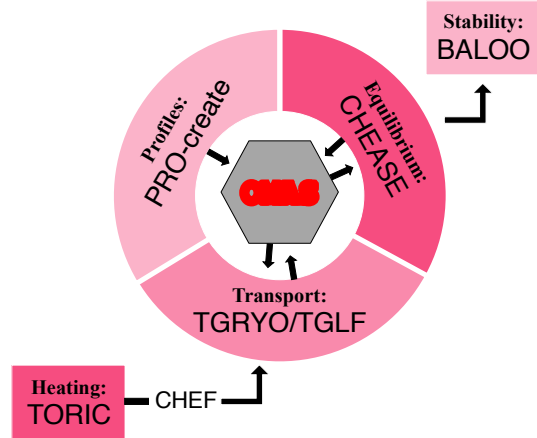


Figure 2: A diagram of the workflow used in this work. Profiles are created in PRO-create. The outputs of codes CHEASE and TGYRO are converted to the OMAS data structure and to pass between codes for self-consistency. Heat deposition profiles from TORIC are copied into CHEF for easy integration into the STEP workflow. Equilibria from CHEASE are passed into BALOO for ballooning stability analysis.

in this case because it is known to be easier to converge particle flux and heat flux simultaneously. Given the results of our particle source scans we suspect that TGYRO/TGLF would predict a similar peaking as is expected from the Angioni scaling. It is important to note that the 3% change in peaking factor over the particle source scan translates to a 10% change in total density squared. Fusion power directly correlates with density squared, so we expect a similar margin of error between the fusion power output of a TGYRO-evolved density profile versus one predicted with the Angioni peaking factor. Though it is a challenge to converge particle flux and heat flux simultaneously with SAT-2, we chose to use SAT-2 in the remainder of this work because it includes additional geometry effects that SAT-0 and SAT-1 do not. We therefore use the pk_{Angioni} prediction for

density peaking with the profile equations from the PRO-create module (described in Appendix B) to approximate the density profiles in all subsequent parameter scans for ease. Though lower fidelity, this allows us to scan over many parameters and evaluate trends in performance from various parameters of interest. TGYRO/TGLF with SAT-2 is used for temperature profile prediction with ion and electron temperature evolved independently. The density peaking prediction is dependent on collisionality, NBI source, and normalized plasma pressure β , as shown in equation 1. Fueling by NBI will likely not be practical in an FPP [57, 58], so Γ_{NBI}^* in equation 1 is set to zero. As both the collisionality and β are dependent on temperature, the peaking prediction changes as the temperature profile evolves in TGYRO. As a result, we pseudo-evolve the density between iterations by adjusting the core density after running TGYRO/TGLF and repeating until we find a converged solution with density peaking within the Angioni prediction. The reference ‘‘Angioni’’ profile in figure 3 is that which was predicted using this procedure.

It is of note that the Angioni scaling only uses a dataset of AUG and JET PT H-mode observations. However, it was found that collisionality was the most statistically significant parameter in the analysis, especially for discharges without neutral beam injection [33]. The normalized collisionality of MANTA is 0.4 at $\rho = 0.9$ and drops monotonically to 0.02 in the core. Note that the collisionality is high in the edge, which is not allowed in PT H-mode reactor core scenarios because of current-limiting pedestal demands that NT does not have [11, 14, 31]. The relatively high collisionality in the edge compared to PT H-mode likely decreases the validity of the Angioni scaling for NT plasmas. However, as mentioned previously, we still expect TGYRO to converge to a density peaking close to that predicted by the Angioni scaling. The assumptions made here resulting from

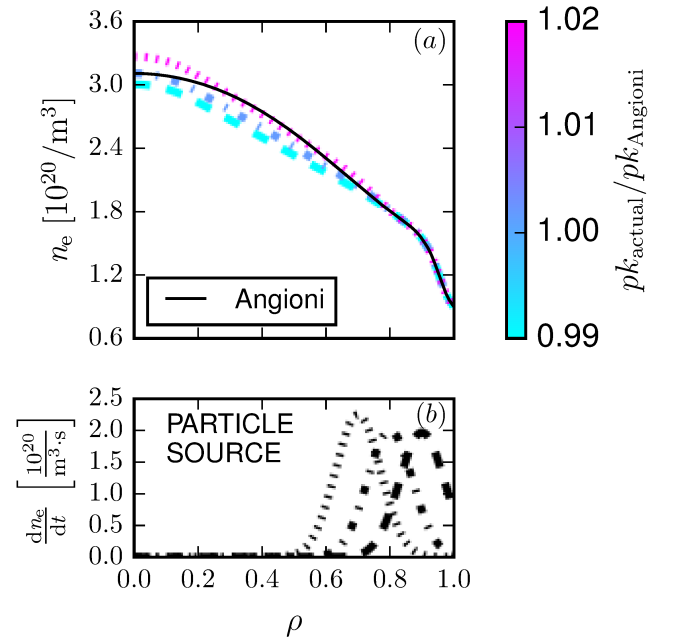


Figure 3: The variation in converged electron density profiles in the high-field case as a result of scanning a Gaussian particle source from $\rho = 0.7$ to $\rho = 0.9$. Electron sources are shown on bottom, and all integrate to the same number of electrons. The dashed lines correspond between the top and bottom plots. The colorbar gives the ratio of the actual density peaking $p k_{\text{actual}}$ to the Angioni predicted peaking $p k_{\text{Angioni}}$.

the uncertainty in reactor edge physics and fueling schemes are unavoidable. However, we have made an effort in this work to show the trends resulting from scanning parameters that have significant uncertainty but significant effect on performance and to make conclusions on the relative effect on performance of various parameters.

3 Impact of the NT pressure boundary condition variation on fusion performance

A significant remaining uncertainty in NT performance prediction is establishing the proper edge pressure condition. This is because the NT

edge region is unique in that it is not a true “L-mode” or “H-mode” edge. Experiments have shown that NT can have steeper gradients than PT L-mode in the region outside of $\psi_N = 0.9$, forming a small “pedestal” while remaining ELM-free [14, 17, 50, 52, 59, 60]. However, they are still often able to recover the same pressure as PT H-mode in the core [14, 18, 21, 27, 50, 52]. Because the NT edge has yet to be characterized to the extent of the PT H-mode edge, which still itself retains significant uncertainty during extrapolations to an FPP, there is more uncertainty around what pressures and pressure gradients could potentially be obtained in an NT reactor edge.

To capture effects related to the edge boundary condition, we employ a “brute force” characterization of the edge in both the high-field and high-volume configurations. This characterization is performed by attempting to scan over four temperature and four electron density boundary conditions at $\rho = 0.8$, for a total of 16 simulations. The ion temperature and electron temperature in the region from $\rho = 0.8$ to 1.0 are set equal. We use the pseudo-density evolving method described in section 2 and evolve electron and ion temperature independently from $\rho = 0$ to $\rho = 0.8$ with TGYRO/TGLF and SAT-2. The resultant electron density and converged temperature profiles are shown in figure 4 with boundary condition values shown by the dotted gray lines. These boundary condition values can also be seen from the x and y axis of plot (a) in figure 5. In figure 4 and 5, H_{98y2} is given by the colorbar. The green region in figure 4-b is that which was evolved in TGYRO/TGLF. Note from figure 5 that as the edge value increases in both electron temperature and density, H_{98y2} increases as well for the high-field and high-volume cases. The highest pressure boundary condition for the high-field configuration tested in this work exhibited a confinement time 20% over

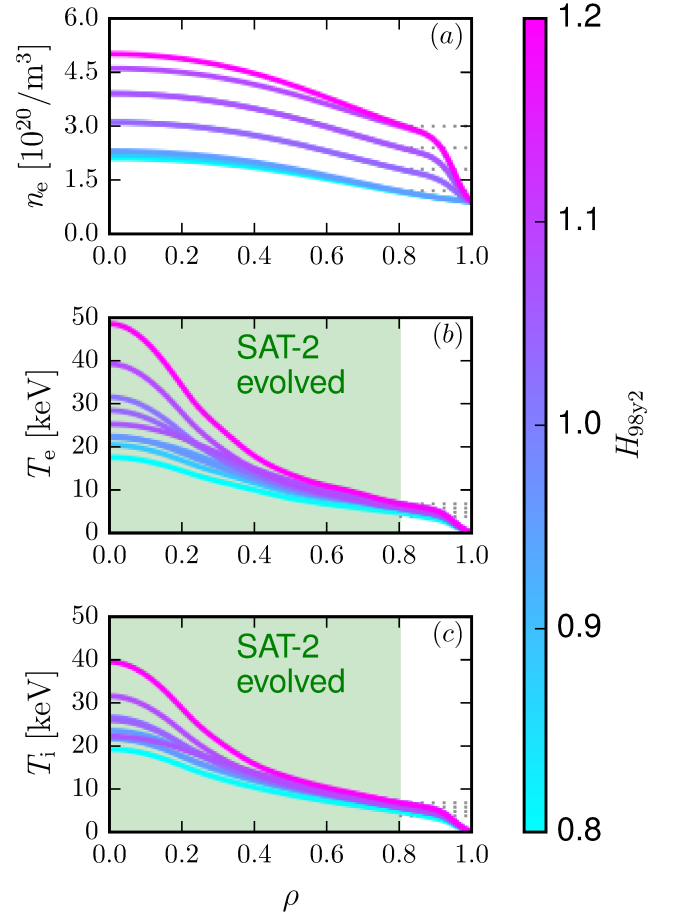


Figure 4: Electron density and TGYRO converged temperature profiles for boundary condition scans in the high-field case. Density profiles are at the Angioni peaking and temperature profiles are evolved in TGYRO with SAT-2 from $\rho = 0$ to $\rho = 0.8$. Dotted gray lines are at the values scanned at $\rho = 0.8$. Note that $T_i = T_e$ in the region from $\rho = 0.8$ to $\rho = 1.0$, but are evolved independently from $\rho = 0$ to $\rho = 0.8$. Colorbar gives H_{98y2} .

that expected from the τ_{98y2} scaling [29], while the highest for the high-volume configuration was 5% below. Attaining $H_{98y2} > 1$ for both cases is difficult at low pressure boundary conditions. The high-volume case was restricted to lower $n_{e,0.8}$ at the same $T_{0.8}$ as the high-field case due to difficulties converging the CHEASE equilibrium

while using current diffusion to maintain $q > 1$ in the core. The scans were not extended to even lower $n_{e,0.8}$ for the high-volume scans due to the decrease in H_{98y2} factor, as we are interested in the operating space around $H_{98y2} = 1$. Note that the points do not make a perfect grid. This is because $T_{0.8}$ and $n_{e,0.8}$ were permitted to evolve slightly to aid convergence in all simulations in this work.

In both the high-field and high-volume cases, fusion performance is seen to depend heavily on the pressure boundary condition at $\rho = 0.8$. This can be seen in figures 6 and 7 for the high-field and high-volume cases, respectively. The same conclusion for high-field was drawn in reference [61] from high fidelity modeling of PT L-mode operation in SPARC and for high-volume in reference [62] from TGLF modeling of PT H-mode and I-mode in DEMO. Investigation of the edge physics in tokamak plasmas requires further work in all of these regimes.

In both figure 6 and figure 7, the top plot shows P_{fus} increasing with increased electron density at $\rho = 0.8$ ($n_{e,0.8}$) for three distinct temperature values at $\rho = 0.8$ ($T_{0.8}$). Note that P_{fus} also increases with increased $T_{0.8}$. The bottom plot in both figures shows the same trend for P_{SOL} for both cases except for at the lowest temperature in the high-volume case (blue line in figure 7). This may be due to the varying cooling rates for krypton at different temperatures, as additional analysis shows that the radiative power for the magenta line in figure 7 increases at approximately the same rate as for the other values of $T_{0.8}$ while the fusion power increases more slowly (as observed in plot (a) of figure 7). All points shown are converged using the pseudo-evolving scheme for density and TYGRO/TGLF for temperature as described in section 2. The green circled points in figure 6 and figure 7 indicate simulations in which the Greenwald fraction (calculated with volume-averaged density) exceeded unity. The red circled points in figure 6 are those in which the infinite-

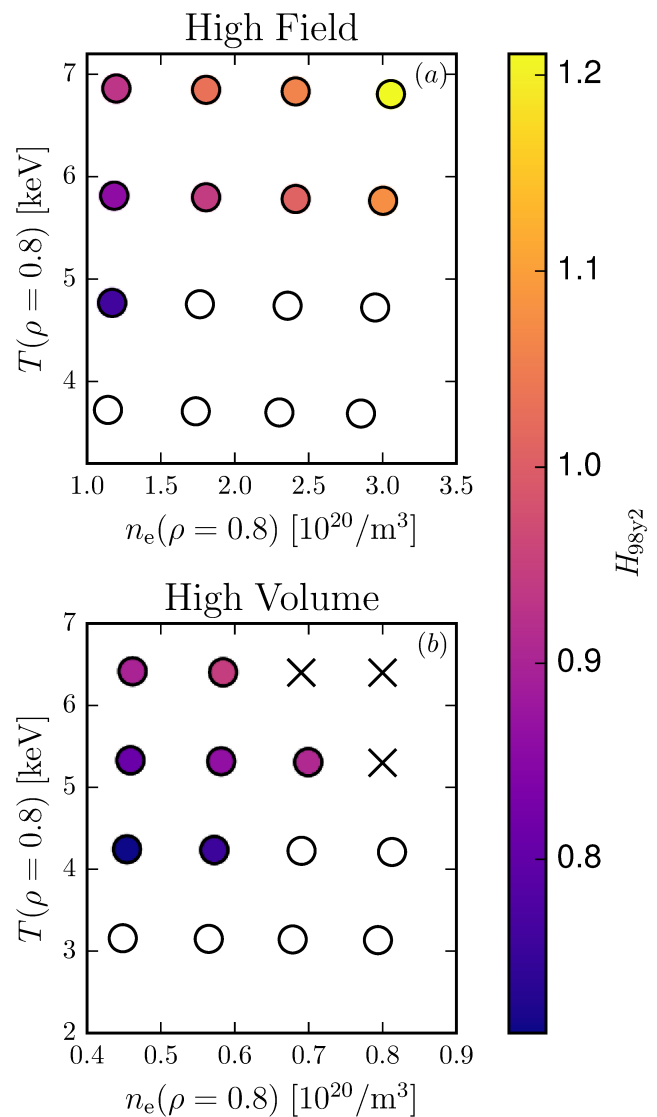


Figure 5: A representation of edge scans performed over $T_{0.8}$ and $n_{e,0.8}$ for the high-field (plot (a)) and high-volume (plot (b)) cases. Each colored point represents a converged simulation as described in section 2. Colorbar gives H_{98y2} . Each open circle is an attempted simulation that did not converge in TGYRO/TGLF. Each ‘x’ represents an attempted simulation that was not able to converge in CHEASE while maintaining $q > 1$ in the core.

n ballooning stability limit was exceeded in the region from $\rho = 0.8$ to $\rho = 1.0$ for a pedestal width of 0.1 in ψ_N . The scans over $n_{e,0.8}$ are at much smaller values for the high-volume case than for the high-field case due to exceeding the Greenwald limit at higher densities. This results in requiring lower separatrix density, which increases P_{SOL} . Note from comparing figures 6 and 7 that the high field case exhibits significantly lower P_{SOL} than the high-volume case for a given P_{fus} . Therefore, a significant benefit of the high-field case from a power handling standpoint is the ability to employ higher separatrix density over higher volume cases without exceeding the Greenwald limit. This is even with the high-volume case employing higher I_P , because the minor radius is more than twice that of the high-field case, which reduces the Greenwald limit significantly. Note also that the high-field case displays higher P_{fus} , but also converged with higher $T_{0.8}$ than the high-volume case. This is once again likely attributable to the higher $n_{e,0.8}$ employed in the high-field case. The mutual dependence of edge temperature and density and their significant effect on P_{fus} and P_{SOL} motivates additional work in characterizing the NT edge boundary condition in future experiments and modeling.

3.1 Ballooning stability in the region outside of $\rho = 0.8$

In addition to the impact of pressure boundary condition on core fusion performance, another uncertainty related the edge in an NT FPP is the specific conditions under which an NT plasma remains ELM-free. The leading experimental explanation of ELM-free performance at significant negative triangularity is the closure of the second infinite- n ballooning stability region, which restricts pressure gradient growth [17, 59]. In these experiments, where pressure gradients are confined to the first stability region, no ELMs of any type are observed. However, it is of note that DIII-

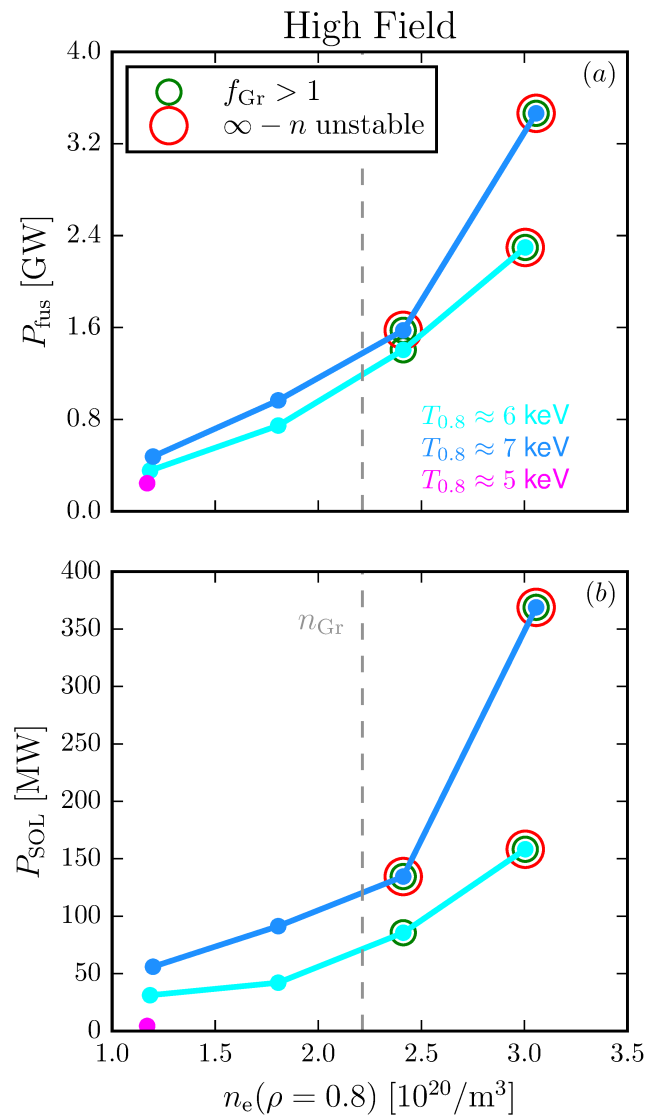


Figure 6: P_{fus} and P_{SOL} increase with electron density and temperature boundary conditions for the high-field case. Each point represents a converged simulation as described in section 2. Simulations where the Greenwald fraction exceeded 1 (calculated using volume average) are circled in green. Simulations that are infinite- n ballooning unstable in the edge with a pedestal width of 0.1 are circled in red. The Greenwald number is shown by the dotted gray line.

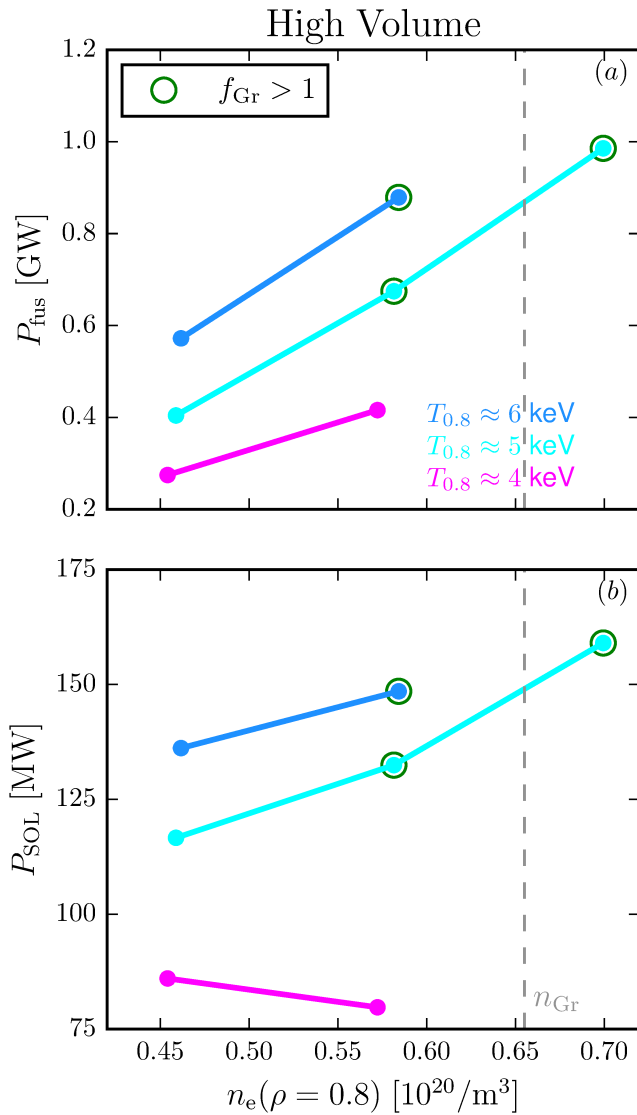


Figure 7: P_{fus} increases with electron temperature and density boundary conditions for the high-volume case. P_{SOL} increases with increasing electron temperature boundary condition but decreases with electron density boundary condition for the $T_{0.8} \approx 4$ keV points. Each point represents a converged simulation as described in section 2. Simulations where the Greenwald fraction exceeded 1 (calculated using volume average) are circled in green. The Greenwald density is shown by the dotted gray line. None of these cases were infinite- n ballooning unstable with a pedestal width of 0.1.

D NT experiments have also suggested that there is a gradient limiting mechanism that precedes ballooning instability [17, 60]. Thus ballooning instability is likely only sufficient as an upper bound on the pressure gradient; it is possible that the pressure gradient will be even lower, which would lower global performance. This further justifies the scans of the edge pressure boundary condition performed earlier in this section. In this work, we use ballooning stability as a proxy for access to the ELM-free state, assuming that if the normalized pressure gradient remains in the first stability region the plasma will not generate ELMs, as suggested by reference [59]. Gradients at the core-edge boundary ($\rho = 0.8$) were evolved self-consistently with the heat flux at the boundary, and remained well below the infinite- n ballooning stability limit in all scans.

An example of the infinite- n ballooning stability calculated by BALOO is given in figure 8 for the high-field case with $f_{\text{Gr}} \approx 1$ and $H_{98\text{y}2} \approx 1$ and a pedestal width of $\Delta_{\text{ped}} = 0.1$. Here the red region indicates the ballooning unstable region while the normalized pressure gradient and the total pressure in the edge are shown by the blue profiles in figures 8-a and 8-b, respectively. Since edge profile prediction is outside the scope of this work, we extrapolate from the end of the TGYRO evolution region ($\rho = 0.8$) to $\rho = 1$ with an H-mode-like \tanh pedestal shape from the PRO-create module (described in Appendix B) with a width of $\psi_{\text{N}} = 0.1$. In figure 8-a the pressure gradient remains in the first stability region even at the steepest point. Note that the separatrix temperature is set at 0.08 keV in this and previous simulations. Temperature predictions in the edge region are difficult, but this is likely an underestimate for a reactor-class device. If the separatrix temperature is raised, the edge pressure gradients will decrease. In this work, this will only affect ballooning stability since the temperature value at $\rho = 0.8$ is posited and

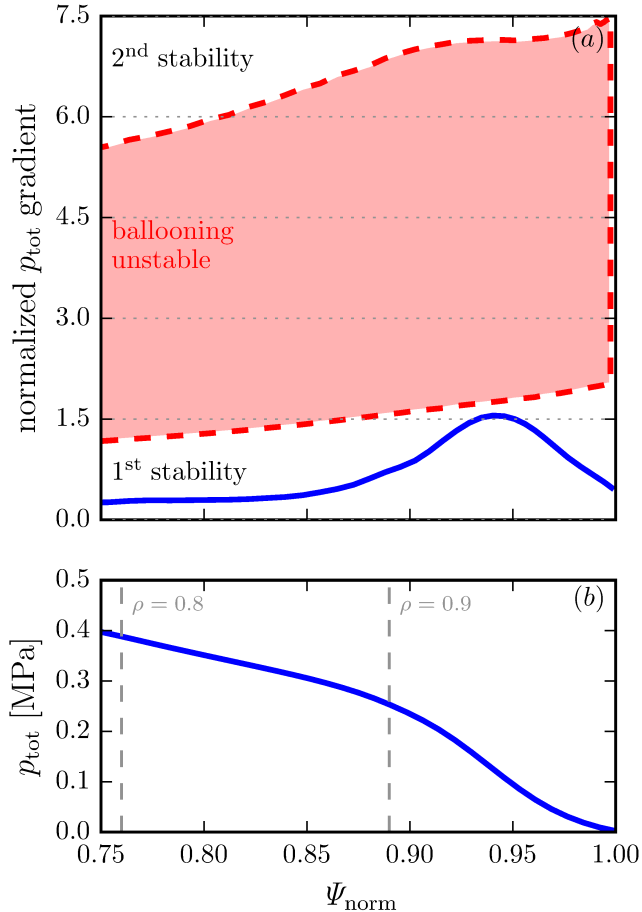


Figure 8: A ballooning stability diagram of the high-field case with $f_{\text{Gr}} \approx 1$ and $H_{98y2} \approx 1$. The normalized pressure gradient is in blue. The ballooning instability region is in red. The modeled pedestal for the high-volume case (not shown) is significantly below the first stability limit for ideal ballooning modes.

scanned. In reality, lower temperature gradients in the edge would likely lower performance from what is reported here. Similar analysis of the high-volume case reveals a significantly larger gap between the first stability limit and the normalized pressure gradient. The scan of $\rho = 0.8$ in the high-volume case was over a smaller range and at smaller values than the high-volume case due to exceeding the Greenwald limit and the safety factor

in the core dropping below 1. Trials of raising the temperature edge further to accommodate the resulting lower edge pressure would likely need additional heating to converge. Should Greenwald fractions greater than unity be achievable in an NT FPP, it may open another path to raise the edge pressure further in machines with larger R_{maj} before destabilizing ideal ballooning modes [59].

For ease of comparison with calculations presented in [31], the bootstrap current is omitted from figure 8-a. However, we note that inclusion of this effect can impact the local magnetic shear in regions of strong pressure gradients, potentially leading to a reduction of the maximum ballooning-stable pressure gradient and adding uncertainty dependent on the choice of bootstrap model. As such, results presented in this work should be treated as a theoretical upper limit on the edge pressure gradient rather than a precise prediction, as mentioned above. The reduction of the maximum achievable edge pressure gradient with the inclusion of bootstrap current is less pronounced at larger R_{maj} , again suggesting that an increase in aspect ratio could be used to recover edge performance that may otherwise be limited by ballooning stability [59]; the uncertainty in ballooning stability due to bootstrap current is more important at low aspect ratio.

Beyond the conventional presentation of ballooning stability presented in figure 8, it can be informative to examine a scan of the pedestal width and height for each given case. This is especially true in NT scenarios where the pedestal is not well-modeled using H-mode pedestal predictions like EPED [60] and a physics-based predictive model capable of describing the pedestal width and height has yet to be developed. In figure 9, we inspect the stability of potential NT edge pressure gradients resulting from various pedestal widths in the region from $\rho = 0.8$ to $\rho = 1.0$ by running `gk_ped` [63] on the high-field case. The `gk_ped` code is a linear gyrokinetic threshold model that

generates self-consistent equilibria (including the bootstrap current, calculated with the analytical formulae from [64]) that vary in pedestal width, pedestal density, and pedestal temperature and then solves for the ballooning critical pedestal via the BALOO stability formalism at each point. Here we define the ballooning critical pedestal as the profile form that achieves the highest pressure gradient at a particular pedestal width before becoming ideal ballooning unstable. In figure 9-a, which shows the calculations without the inclusion of the bootstrap current, the ballooning critical pedestal is described by the relationship

$$\Delta_{\text{ped}} = 0.35\beta_{\theta,\text{ped}}^{1.03}, \quad (2)$$

where Δ_{ped} is the pedestal width and $\beta_{\theta,\text{ped}}$ is the normalized poloidal pedestal pressure. The color bar in figure 9 represents the fraction of radial locations of the pedestal half-width that are ballooning unstable. We note that, for the high-volume cases presented, the modeled scenarios presented in this work feature pedestals that lie below the ballooning critical pedestal calculated by `gk_ped`.

Equation 2 can be used to describe an upper ballooning-stable limit on the NT pedestal height as a function of pedestal width, as characterized by the traditional H-mode-like `tanh` pedestal shape. However, as seen in the comparison to figure 9-b, which includes an analytic model for the bootstrap current in the edge region, this ballooning critical pedestal may over-predict the edge gradient in NT FPPs. This discrepancy is potentially compounded by observations on DIII-D that the actual edge gradient in NT plasmas lies somewhere below the infinite- n stability limit [17, 60], suggesting that an additional model is required for accurate prediction of the NT edge profile. However, we note that the location of high-field equilibrium point in the instability region in figure 9-b does not invalidate the value of pressure employed at $\rho = 0.8$ for the core profile modeling presented in the work.

Indeed, it is possible to achieve a similar pedestal height as in figure 9-a while remaining stable to ballooning modes by going to a larger pedestal width. This highlights the need to develop a constraint for the NT pedestal width similar to the EPED model in H-mode scenarios, as the ballooning stability itself cannot fully constrain a pressure boundary condition at $\rho = 0.8$.

Because the region between $\rho = 0.8$ and $\rho = 1.0$ is beyond the TGYRO evolution in this work, any further analysis in this region is out of scope. However, figures 8 and 9 suggest that NT boundary condition at $\rho = 0.8$ may reach high pressures and pressure gradients, beyond those expected in a PT L-mode, without becoming ballooning unstable. This is supported by NT experiments on DIII-D that have observed increased edge pressure and pressure gradients in the NT edge without ELMs [14, 21, 26, 60], though we note that edge transport barrier mechanics and turbulence suppression remain an open area of research in NT. Figure 9 illustrates that even in a high-field case with $H_{98y2} \approx 1$ and $f_{\text{Gr}} \approx 1$, there are many ballooning-stable pedestal shapes that may be possible in NT. In particular, it is possible to achieve the same temperature and density boundary conditions at $\rho = 0.8$ with reduced pedestal gradients by increasing the width of the NT pedestal, which is not constrained in this work. Thus the profile shown in 8-b is just one of many possibilities of an NT pedestal shape given the pressure at $\rho = 0.8$ and we encourage further experimental endeavours to characterize this region. In particular, physics-based constraints on the pedestal width or on the functional form of the pedestal would be valuable for improved predictive capabilities for NT FPPs, as they would enable a significant reduction in the parameter space available for stability codes like `gk_ped`.

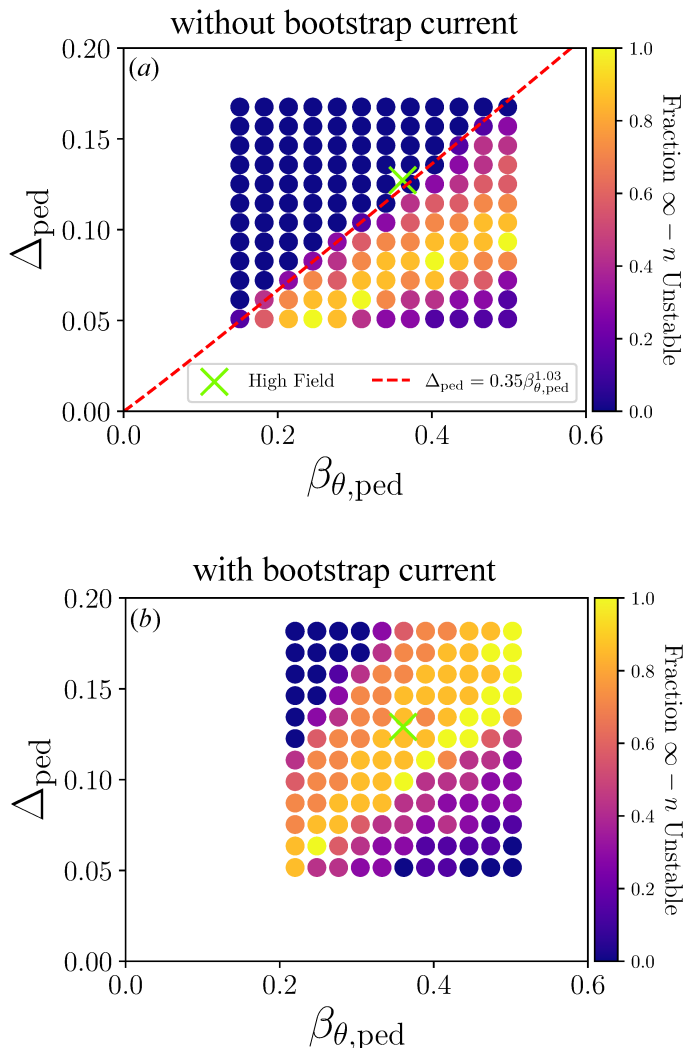


Figure 9: Pedestal width Δ_{ped} in normalized ψ versus normalized poloidal pedestal pressure $\beta_{\theta,\text{ped}}$ for the high-field case. The colorbar shows the fraction of pedestal half-widths with a given Δ_{ped} and $\beta_{\theta,\text{ped}}$ that are unstable to infinite- n ballooning modes. The red dashed line in (a) indicates the fit of the instability boundary for various pedestal size combinations. The green “x” indicates the high-field case equilibrium.

4 Relative effect of triangularity and major radius on fusion performance

The importance of the boundary pressure at $\rho = 0.8$ on plasma performance is also seen when exploring changes in fusion performance due to geometry. While scanning triangularity and major radius for the high-field case, we found that changes in fusion power density due to R_{maj} did not dominate over changes due to boundary electron pressure $p_{e,0.8}$, as will be shown.

The motivation for studying a high-volume case is that P_{fus} increases with increasing volume [65], so larger R_{maj} can be employed for lower field devices to achieve performance comparable with more compact high-field devices. In a high-field device like MANTA, compactness is prioritized because size is expected to be a major cost driver. However, there is an additional benefit of large R_{maj} in that it allows for a larger central solenoid, enabling increased flux swings and longer pulse lengths. Thus R_{maj} is an import parameter to optimize for FPP performance. In this work, we do not discuss the potential engineering benefits of increased R_{maj} in an NT FPP, only the effect on transport and core performance.

At strong negative δ volume decreases with increasingly negative triangularity, which affects fusion power output. NT has shown robust avoidance of ELMs when $\delta < \delta_{\text{crit}}$ in experiment, where δ_{crit} is a critical triangularity that is device-dependent, around $\delta_{\text{crit}} \sim -0.15$ on DIII-D [59]. This δ_{crit} is unknown experimentally in a MANTA-like device, so it is safer to assume stronger negative triangularity to ensure the plasma is robustly ELM-avoidant. However, plasmas with stronger NT are more vertically unstable, so δ is also a parameter ripe for optimization [66, 67].

Interestingly, volume does not directly correlate with negative triangularity in these simulation scans, but peaks at around $\delta = -0.3$ as shown by the purple circles in figure 10. This is

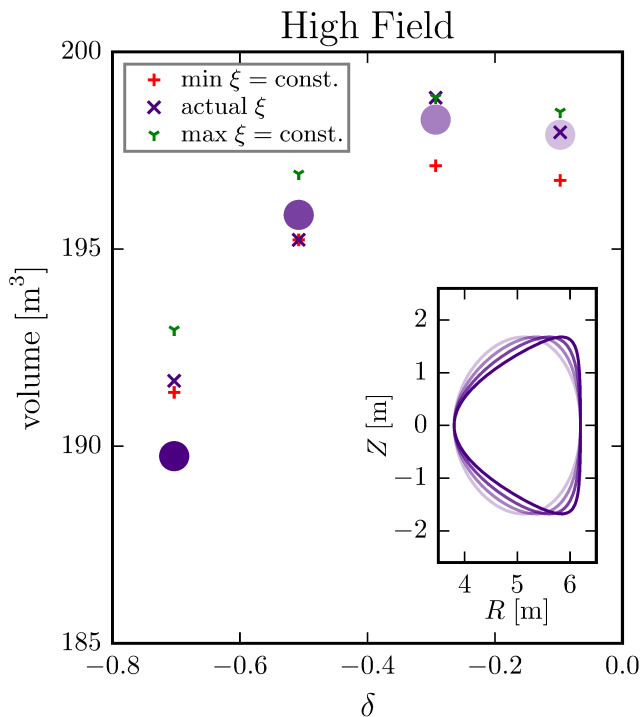


Figure 10: Volume is plotted against triangularity for the high-field case with $R_{\text{maj}} = 5$ m. The inset plot shows the last closed flux surfaces of each distinct δ in R and Z coordinates with increasing transparency of each contour indicating increasing δ . Increasing transparency in purple circles also corresponds to increasing δ . The red ‘+’, purple ‘x’, and green ‘y’ indicate analytical volume calculations with constant ξ at the minimum across the triangularity scan, with the actual ξ , and with constant ξ at the maximum, respectively.

consistent with the analytical formula for volume with negative δ given in [68] using equations in Appendix C to include squareness. Note that the approximation in Appendix C fails at high δ , so will not match well to the simulated δ scan at $\delta = -0.8$. It is also of note that the plasma squareness (ξ) changes significantly ($\sim 60\%$) across each simulation scan of δ . This is a result of CHEASE equilibrium convergence, as geometry parameters are input as targets and an equilibrium may not be found that matches every

prescribed target. To check that the non-monotonic decrease in volume for negative δ is not due to changes in ξ across the simulation scan, we have plotted the volume calculated analytically for three different squareness cases in figure 10. The purple ‘x’ markers use the analytical formula with the actual equilibrium ξ value at each point and it matches well inside of $\delta = -0.6$. The red ‘+’ markers use the analytical formula with ξ held at the minimum value from the simulation scan. The green ‘y’ markers use the analytical formula with ξ held at the maximum value from the simulation scan. In each case, we see the same trend of volume with respect to δ . We have plotted the last closed flux surface of the high-field equilibrium shapes scanned in δ for $R_{\text{maj}} = 5$ m in the inset of figure 10 for reference. The transparency of the contours increases with increasing δ .

To illustrate the impact of R_{maj} and δ on fusion performance in an NT scenario, the fusion power density is plotted against δ in figure 11-a with each distinctly colored line representing a different R_{maj} . We do not focus on the obvious increase in P_{fus} with volume, instead investigating fusion power density to discover any underlying transport effects attributable to the change in shape. The dotted lines contain simulation points in which equilibria were initialized with the same B_t , I_P , P_{aux} , target a_{minor} , temperature profiles, and density profiles, with only δ and R_{maj} changing between each simulation. Note that this does lead to changes in magnetic shear and safety factor q as well, so there is potential performance optimization to be done at distinct δ and R_{maj} owing to global stability considerations. For example, $q_{95} = 2$ for the $R_{\text{maj}} = 6$ m cases here, which is the lower stability limit. Additional scans that could increase I_P to maintain q_{95} constant at each R_{maj} could expand the R_{maj} optimization picture and are left to future work and should be combined with dedicated MHD stability

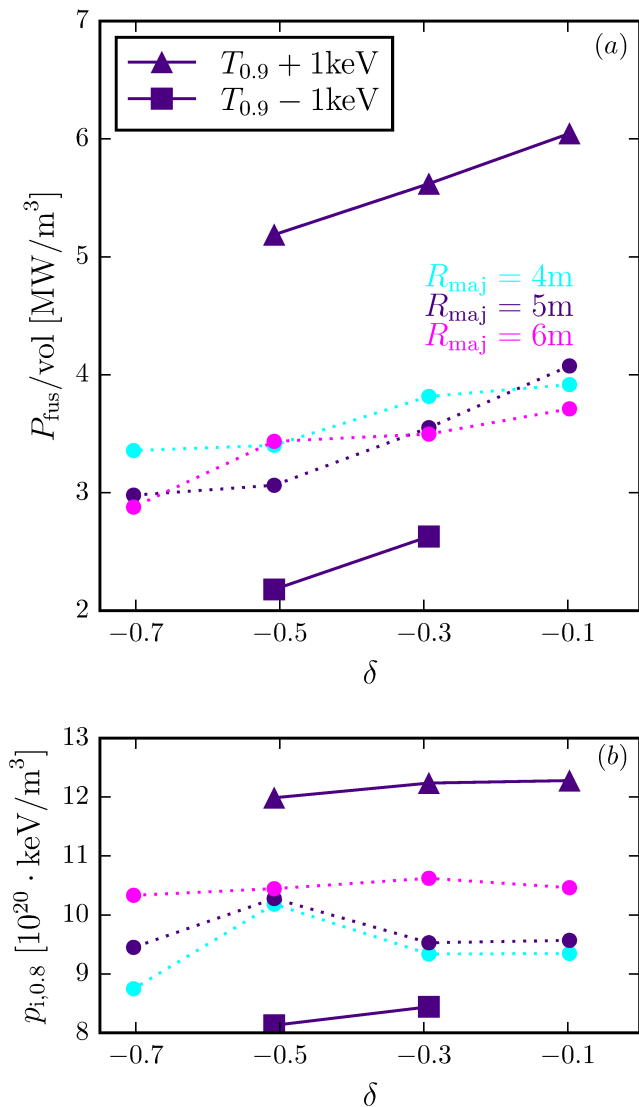


Figure 11: In (a), fusion power density is plotted versus triangularity for three distinct major radii for the high-field case. The dotted lines all target the same boundary temperature, and there is little variation in boundary pressure at $\rho = 0.8$ as shown in (b). The solid purple line with triangle markers targets a boundary temperature increased by 1 keV from that of the dotted line at $R_{\text{maj}} = 5\text{ m}$. The solid purple line with square markers targets a boundary temperature decreased by 1 keV from the dotted line at $R_{\text{maj}} = 5\text{ m}$. All points represent converged simulations.

modeling. Also note that scans of the shaping parameters lead to greater variation between the equilibrium in each simulation than in the $T_{0.8}$ and $n_{e,0.8}$ scans presented in section 3, leading to increased variation in equilibrium parameters that are targeted as inputs in CHEASE. In any case, an increase in the fusion power density with less negative triangularity is evident, as shown in figure 11-a. This suggests that the optimal triangularity for operation of a NT FPP may be that which is just negative enough to avoid ELMs - further decrease in δ may result in a general decrease in the fusion power density. It is of note that this conclusion is not in line with conclusions from certain NT experimental studies, which have exhibited improved confinement at stronger negative triangularity [20, 21]. In figure 12, the confinement time τ_E versus δ is plotted for the same simulations as in figure 11. The general trend shows an increase in τ_E as triangularity decreases, with the jump in confinement at $\delta = -0.7$ for $R_{\text{maj}} = 4\text{ m}$ and for $R_{\text{maj}} = 5\text{ m}$ likely due to the corresponding jump in $p_{i,0.8}$ shown in figure 11-b. However, τ_E changes by at most 10% across each δ scan, while a larger increase in τ_E is observed from increasing R_{maj} at each δ . Note that while the confinement improvement at more negative triangularity is expected to be diminished at higher powers [20], it also may be the case that TGYRO is simply not capturing the effect of increased gradients in the edge region at higher negative triangularity as discussed in section 3.

In figure 11-b, the electron pressure at $\rho = 0.8$ is plotted against δ , highlighting some variation in edge pressure within each δ scan. In all TGYRO simulations in this work, the temperature and density were fixed outside of $\rho = 0.8$ (at $\psi_N = 0.9$, as described in Appendix B) while the pressure from $\rho = 0$ to $\rho = 0.8$ was allowed to evolve until convergence was met. This resulted in some variation in edge pressure at $\rho = 0.8$. We tested the role of edge pressure on these results by performing

two additional scans over δ at constant $R_{\text{maj}} = 5$ m. The first is shown by the solid purple triangle markers, with temperature at $\psi_N = 0.9$ ($T_{0.9}$) increased by 1 keV with all other parameters the same as the corresponding dashed $R_{\text{maj}} = 5$ m line in figure 11(a). The second is shown by the solid purple square markers, with $T_{0.9}$ decreased by 1 keV. When decreasing $T_{0.9}$, it was more difficult to converge TGYRO in the edge for these cases. The $T_{0.9} + 1$ keV and $T_{0.9} - 1$ keV scans resulted in fusion power density changing more drastically than the change from $R_{\text{maj}} = 4$ m to $R_{\text{maj}} = 6$ m, but still displayed the same increase in fusion power density from increasing triangularity. Thus edge pressure has a significantly more profound effect on fusion power density than R_{maj} , and the small jump in the cyan and purple lines in plot 11-b at $\delta = -0.5$ likely affects the trend in those lines in plot 11-a and figure 12. However, there is still the benefit of increased P_{fus} from the increased volume at higher R_{maj} . A similar conclusion was found in reference [69] for a PT H-mode transport study, where a change in the temperature at the pedestal top was found to have a larger impact on fusion power density than a change in the aspect ratio.

Though there is increased vertical stability at weaker triangularity [66] and increased P_{fus} density at weaker triangularity, operating below a critical triangularity δ_{crit} will be necessary for ELM avoidance [17, 59]. For a MANTA-like device δ_{crit} cannot yet be experimentally verified, but these results indicate that if the pressure boundary condition at $\rho = 0.8$ remains the same, no significant performance benefit is gained by operating at more negative triangularity.

5 The effect of the temperature edge condition on heating requirements

Given the sensitivity of core performance on the temperature and density edge condition, establishing a set of reliable actuators and controls

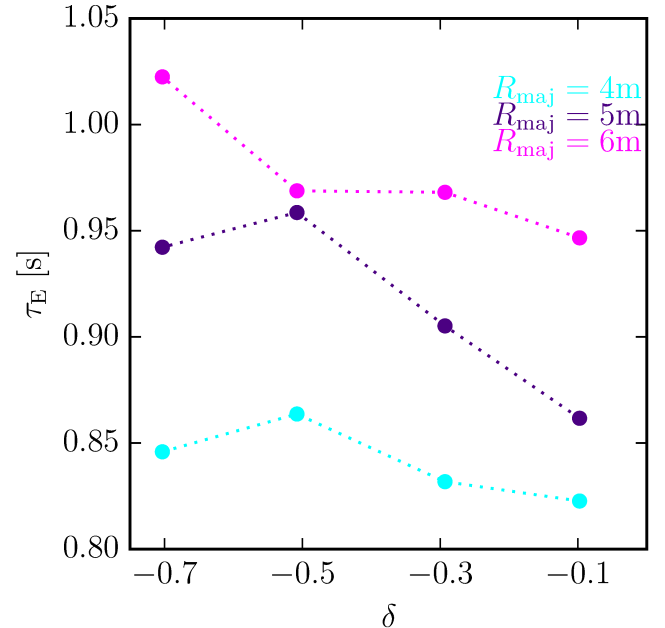


Figure 12: Confinement is plotted versus triangularity for three distinct major radii for the high-field case. All points represent converged simulations.

for these parameters is paramount to the successful design of an NT FPP. One potential path to control the temperature edge is to leverage auxiliary heating power P_{aux} . For MANTA, the full wave code TORIC was used with CQL3D [56] to determine 1D power deposition profiles for both ions and electrons from ICRH heating such that the total $P_{\text{aux}} \approx 40$ MW [31]. In P_{aux} scans in this work, heating was not calculated self-consistently but instead was simply scaled from the MANTA power deposition profiles for both the high-field and high-volume cases. In figure 13, scans of $T_{0.8}$ and P_{aux} on the high-field and high-volume core are shown. The colorbar gives P_{fus} in megawatts, and selected tokamak FPP operating points are indicated by blue stars. Their corresponding parameters are given in table 1. The value of $T_{0.8}$ for each machine is extracted from temperature profile plots in each corresponding publication given in Table 1 and is approximate. The ion and

electron temperature at $\rho = 0.8$ are equal in all but EU-DEMO (2018), for which the ion temperature is plotted.

It is clear in both cases that P_{fus} increases with $T_{0.8}$. Though P_{fus} also increases with P_{aux} , it is not as pronounced as the change due to $T_{0.8}$. Note that we cannot claim the level of P_{aux} that will be required to maintain a certain $T_{0.8}$, as the relationship between P_{aux} and $T_{0.8}$ is ultimately governed by edge physics. Instead, the two are varied independently in figure 13 to scope potential P_{aux} and $T_{0.8}$ combinations and their corresponding P_{fus} . The relatively weak dependence of P_{fus} on P_{aux} suggests the possibility of higher gain solutions at a given $T_{0.8}$ by going to lower P_{aux} . We ultimately find that $T_{0.8}$ is more important than P_{aux} in determining fusion performance, which once again motivates further investigation of the physics governing the NT edge. Since the profiles towards the core are typically determined by stiffness, an increase in $T_{0.8}$ is expected to result in a strong increase in plasma energy and ultimately fusion power. This supports the experimentally observed trend of power degradation for all confinement regimes, and NT is no exception.

Similar solutions can be found in both the high-field and high-volume cases at various P_{aux} , but are harder to converge at $P_{\text{aux}} < 10$ MW and $T_{0.8} < 5.5$ keV in the high-field case, requiring higher $T_{0.8}$ than the high-volume case to reach the same P_{fus} . Note that ARC and EU-DEMO (2018), representing the high-field and high-volume path for PT H-mode FPPs, respectively, have approximately the same $T_{0.8}$ and P_{aux} values. However, ARC has a fusion power of 525 MW while EU-DEMO (2018) has a fusion power of 1800 MW, due predominantly to its larger volume. If we compare the two points in grey squares on figure 13, they are also at approximately the same $T_{0.8}$ and P_{aux} value, but exhibit P_{fus} of 786 MW for the high-field case and 940 MW for the high-volume case. While there are likely other parameters at

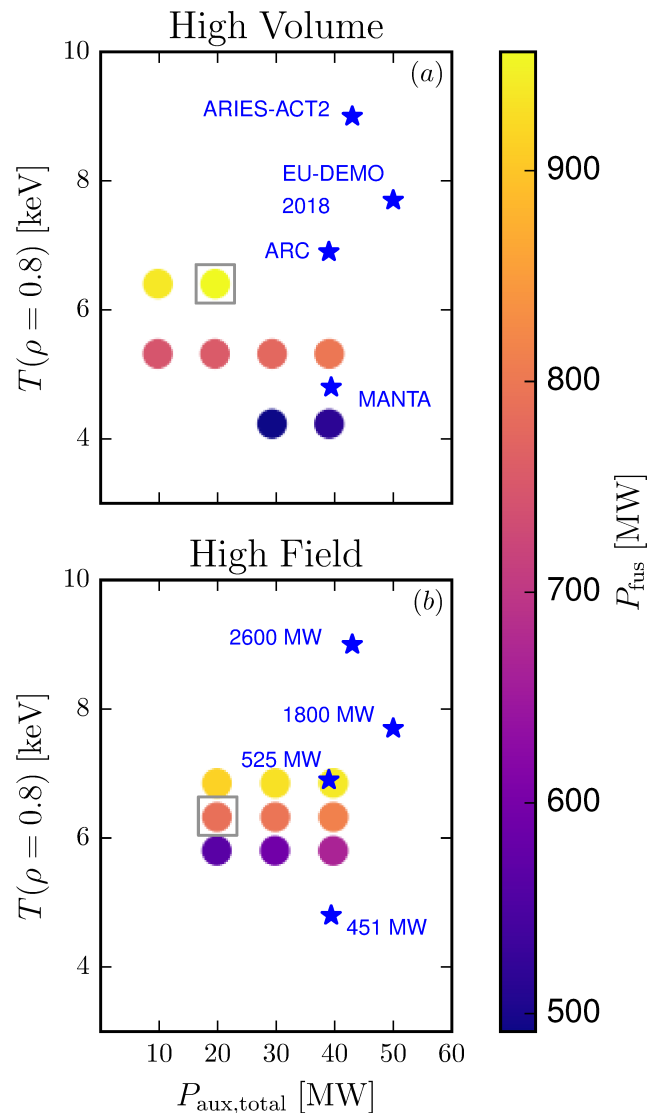


Figure 13: Electron temperature boundary condition at $\rho = 0.8$ versus total auxiliary power with colorbar representing fusion power in megawatts. Plot (a) is for the high-volume case and plot (b) is for the high-field case. Prevalent PT H-mode FPPs are represented by blue stars. The machine labels of the blue stars in (a) also correspond to the power labels of the blue stars in (b). The power labels of the blue stars in (b) give P_{fus} of their respective devices.

play constituting the difference between ARC and EU-DEMO (2018) performance, NT appears to approximately follow a similar trend to PT H-mode between the high-field and high-volume approaches in this case. Note also that the zone in which the converged simulations lie also differs between the high-field and high-volume case in NT. This is due to the density difference between cases, with the high-volume case able to converge at a lower $n_{e,0.8}$. Referring to table 2, $n_{e,0.8}$ for the high-field case is more than three times that of the high-volume case. Thus, a higher $T_{0.8}$ is required for power balance in TGYRO. The high-field case also displays higher sensitivity to $T_{0.8}$ than the high-volume case. The expected $T_{0.8}$ and P_{aux} needed for comparable P_{fus} to leading PT H-mode FPPs is comparable to that seen in these devices. ARC is the lowest shown here at $P_{\text{fus}} = 525$ MW and ARIES-ACT2 the highest at $P_{\text{fus}} = 2600$ MW, but note that ARIES-ACT2 has significantly higher $T_{0.8}$ which we have seen to be very influential on P_{fus} .

6 Impurity analysis

Given the primary benefit of operating in NT is enhanced confinement without ELMs, we are interested in robustly *avoiding* H-mode. Other small-ELM and no-ELM regimes retain a requirement to maintain P_{SOL} above the L-H power threshold. NT has no such requirement, which grants the freedom to use seeded impurities to radiate heat in the edge, lowering P_{SOL} and thereby reducing divertor heat loads. For a detailed analysis of the use of seeded impurities for power-handling in a MANTA-like device, see reference [[40]]. Noble gas impurity seeding in PT L-mode experiments on DIII-D and AUG have shown enhanced confinement with low P_{SOL} [70, 71], similarly to NT. Employing both NT shaping and seeded impurities allows more control over P_{fus} and P_{SOL} and potentially easier divertor integration than with NT alone. In a reactor, high-Z noble

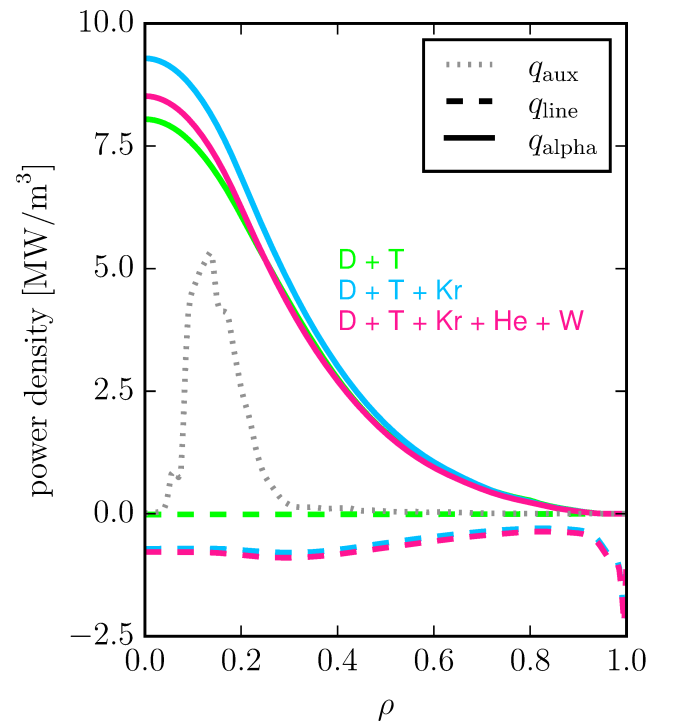


Figure 14: In the high-field case, power density profiles from auxiliary power, line radiation, and alpha power are plotted by the dotted, dashed, and solid lines respectively for three distinct fuel mixes. The D and T only mix is colored green, the D, T, and Kr mix is colored blue, and the D, T, Kr, He, and W mix is colored pink.

gas impurities such as krypton and xenon are expected to be most useful given their cooling factor dependence on the electron temperature, which results in radiation primarily in the edge. This decreases P_{SOL} significantly with minimal effect on P_{fus} .

In all simulations mentioned thus far in this work, we included only krypton impurities at a fraction of 0.001 unless otherwise noted. Note that all impurity density profiles in this work are set to scale with the electron density profile, which is a limitation of this work. These results are likely to be affected by impurity transport causing impurity density profiles to differ significantly from the electron density profile. Tungsten is the leading

candidate for plasma facing component in FPPs and so tungsten ions will likely also be in a reactor-class plasma along with helium ash. A study of the consequences of tungsten as a plasma facing component is beyond the scope of this work but has been covered extensively for PT plasmas [72–74].

To better assess the impacts of impurities on fusion performance in an NT FPP, we plot power density profiles from line radiation in the high-field base case with three distinct impurity combinations in figure 14. In this figure, the power density profiles of the additional line radiation from including krypton (Kr) and tungsten (W) is plotted by the dashed lines in context of the alpha power density (solid lines) and auxiliary power density (dotted line). Note that the auxiliary power is the same for all three impurity combinations. The dilution effect of adding helium at a fraction of 0.02 in a D, T, and Kr mix results in a decrease in P_{fus} of only 121 MW, or about 9% of P_{fus} in the D, T, and Kr only mix. The power density profiles of a D, T, Kr, and He mix are not shown in figure 14 because they overlap the D + T + Kr + He + W profiles. Including tungsten at a fraction of 1.5×10^{-5} does not significantly affect radiated power, and the P_{fus} difference between the D, T, and Kr profile and the D, T, Kr, He, and W profile in figure 14 is only 127 MW, or $\sim 10\%$. Note that the presence of tungsten will be inescapable in any reactor class device using tungsten as a plasma facing material (the leading metal candidate) and that it elicits serious concern for radiative collapse due to its high atomic number. For example, the tungsten fraction used in EU-DEMO (2015) modeling is 10^{-5} [75] and in any reactor-class tokamak the upper limit on tungsten fraction is likely to be on the order of several 10^{-5} [72]. H-mode has the benefit that ELMs flush impurities out of the core [76, 77], but the tungsten fraction limit is also set by maintaining $P_{\text{SOL}} > P_{\text{L-H}}$, a limit that NT does not need to adhere to. Additionally, type-I and type-II ELMs can cause sputtering, leading to increased tungsten

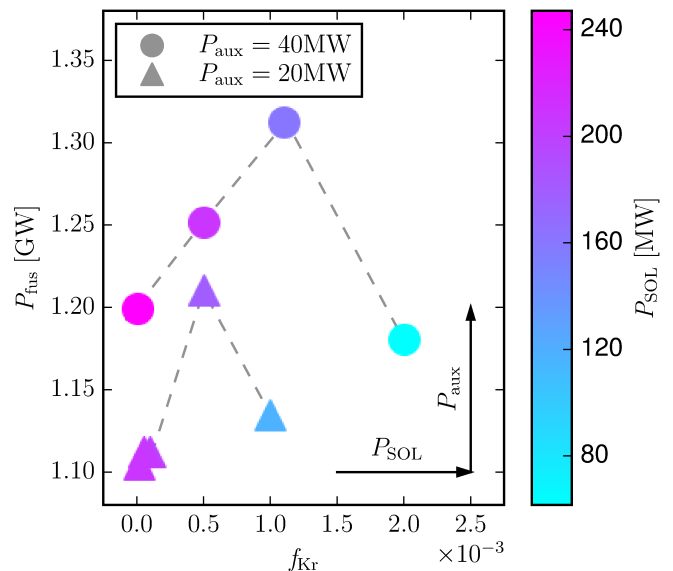


Figure 15: In the high-field case, the fusion power in mega-watts is plotted versus krypton fraction. Each point represents a converged simulation with only krypton impurity in a D-T fuel mix. The circular points are with 40 MW of input power and triangular points are with 20 MW of input power. Scrape-off layer power decreases with increasing impurity fraction for both $P_{\text{aux}} = 20$ MW and $P_{\text{aux}} = 40$ MW. Increasing P_{aux} at a given impurity fraction increases fusion power.

influx [78]. While impurity transport in NT is an area of ongoing research, preliminary analysis on diverted DIII-D NT experiments suggests rapid impurity transport. This is indicated by hollow impurity profiles and lower Z_{eff} in NT plasmas than PT plasmas with similar confinement factors [53].

In figure 15, we plot P_{fus} against krypton impurity fraction f_{Kr} in the high-field case. The circles plotted are at 40 MW of auxiliary power while the triangles are at 20 MW of auxiliary power. The color bar gives P_{SOL} . Figure 15 shows that the same downward trend in P_{SOL} versus f_{Kr} change is seen at $P_{\text{aux}} = 40$ MW and when $P_{\text{aux}} = 20$ MW. This is promising for prospective direct P_{SOL} control using impurity seeding. However, figure 15 also shows that a small

change in f_{Kr} results in a large change in P_{SOL} , and research into whether this level of impurity control in a reactor will be possible is ongoing [25, 79]. Additionally, figure 15 shows a peaked trend in P_{fus} , indicating potential for P_{fus} optimization via impurity fraction. This improvement in P_{fus} from certain levels of additional impurity seeding while simultaneously decreasing P_{SOL} has been observed in PT experiments in AUG [80], TEXTOR [81] and DIII-D [70] and in NT experiments on DIII-D [24] and encourages further study of this phenomenon for implementation in reactor modeling scenarios. The predominant hypothesis for this improvement in performance in highly radiative plasmas is ion temperature gradient mode stabilization [24, 70, 82]. For a given f_{Kr} , we also see that P_{fus} is higher when $P_{aux} = 40$ MW than when $P_{aux} = 20$ MW, indicating potential for P_{fus} control via input power. At each auxiliary power, H_{98y2} remained approximately constant over the scan of f_{Kr} , indicating that improvement in confinement from impurities may overcome the decrease in P_{fus} from line radiation and dilution.

While the inclusion of radiative impurities could lead to benefits in the core performance and in the power handling properties of NT FPPs, we note that we do not include in this work any decrease in the edge temperature resulting from significant radiation just inside of the separatrix. Studies of this effect in PT H-mode have been done on AUG [83–85], TCV [85], and JET [83], where it is observed that control of x-point radiators like neon and krypton can tailor radiation profiles for confinement optimization. The impurities studied above were chosen to consolidate radiation in the core region [79], but any drop in the temperature boundary condition will lead to a decrease in fusion power, as discussed in section 3. As such, proper characterization of the role of impurities with a full impurity transport code that extends out into the SOL is needed for more robust NT FPP design, and should be the subject of future work.

7 Conclusion

This work demonstrates that a NT reactor concept with performance on par with PT FPP designs can be achieved. Even with edge pressure conditions low enough to be infinite- n ballooning stable, a variety of operating points exist in which FPP-relevant fusion power is possible ($\sim 400 - 500$ MW for a MANTA-like device with 40 MW input power [31]), with opportunities for increased fusion gain by decreasing input power, increasing fueling, or optimizing seeded impurity fraction. Due to the lack of a requirement to maintain H-mode, NT also grants the freedom to increase the seeded impurity fraction. This can increase radiation in the edge to bring scrape-off layer power down to acceptable levels for simple divertor integration (< 40 MW for a MANTA-like device with a separatrix density of $0.9 \times 10^{20}/m^3$ [31]).

The performance of an NT reactor will be heavily dependent on the edge condition. This dependency is not unique to NT, but it is perhaps of greater consequence in NT designs than in PT designs due to the present limitations in modeling the NT edge. Changing the edge temperature by 1 keV was found to have a more profound effect on the fusion power density than changing the major radius by 2 meters in a high-field case, though we note that this is without adjusting scans to account for changes in global stabilization parameters such as q_{95} . Additionally, we found the temperature at $\rho = 0.8$ to have a significantly larger effect on fusion power than auxiliary heating in both the high-field and high-volume cases, though the high-field case exhibited stronger dependence than the high-volume case. We reiterate that the density and temperature chosen for scans over other parameters in this work were to satisfy $H_{98y2} \approx 1$ and $f_{Gr} \approx 1$ while meeting temperature convergence in TGYRO with density at the Angioni peaking. We have not explored the extent to which these edge parameters can be accessed, and rely heavily on experimental

observations to inform this work.

Though the effect of triangularity on fusion power density is minimal, fusion power density is found to consistently increase with less negative triangularity when the ion pressure at $\rho = 0.8$ is held constant, suggesting that the optimal triangularity for an NT FPP may be that which is just negative enough to sustain ELM-free operation. Targeting this minimum negative triangularity would have the added benefit of reducing vertical instability concerns [66]. In this work, the core was modeled from $\rho = 0$ to $\rho = 0.8$, so future work should prioritize high fidelity transport modeling in the edge where the triangularity is strongest to identify any benefits attributable directly to the negative triangularity geometry, like that seen in reference [30].

For a high-field case with $f_{Gr} \approx 1$ and $H_{98y2} \approx 1$, we demonstrated a possible model for extrapolation to $\rho = 1.0$ that relates pedestal width to height based on the stability found from infinite- n ballooning models. Thus for a given edge pressure boundary condition, there are a variety of pedestal shapes that remain ballooning stable. No scans of the high-volume case exceeded the infinite- n ballooning stability boundary, so an increase in R_{maj} could enable an increase in fusion power on two fronts: from the increased volume as well as the increased pressure boundary condition [59].

The main advantages of NT come from the improved pressure edge condition over PT L-mode supported by experiment and the absence of a requirement to remain in H-mode allowing flexibility in impurity and heating requirements. We found that the temperature boundary condition and auxiliary power needed to reach fusion powers between 500-900 MW is below or on the order of representative PT H-mode FPP concepts. Future work to develop a physics-based model for the behavior of an NT reactor edge must be prioritized to more accurately predict fusion performance, though we have shown in this work that feasible

core operation can be attained at a variety of edge conditions. A lower-fidelity, larger parameter space scan like that done in reference [86] for PT H-mode could aid in selecting an optimal operating point for an NT FPP. To further evaluate the feasibility of integrating a given operating point with simple divertor operation, whole-device modeling like that done in reference [31] could illuminate the engineering trade-offs attributable to the potential plasma core trade-offs described here, such as pressure boundary condition, impurity content, geometry, and heating. Ultimately, there are likely a variety of core operating points for a NT tokamak FPP with competitive fusion power to leading PT H-mode concepts even at relatively high radiation, at low input power, and with a ballooning-stable pressure boundary and significantly reduced scrape-off layer power.

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Appendix A Full TGYRO Convergence

We define “full” convergence to be met when the residual between the total flux calculated in the TGYRO/TGLF model (f_{tot}) and the target flux from power balance (f_{tar}) for each point between $\rho = 0.35$ and $\rho = 0.8$ is less than or equal to 0.02. Here the residual is defined as

$$\frac{(f_{\text{tot}} - f_{\text{tar}})^2}{f_{\text{tot}}^2 + f_{\text{tar}}^2}. \quad (\text{A.1})$$

Converging from a residual of 0.02 to a residual of 0.00 resulted in less than a 5% change in P_{fus} in a few representative cases, so pushing convergence past this point is not expected to significantly change the qualitative results presented in this work. Flux matching between $\rho = 0.0$ and $\rho = 0.35$ is challenging but has ultimately been shown to have a marginal effect on output fusion power due in part to the relatively small plasma volume in the core compared to the edge [51, 69, 87].

Appendix B PRO-create Profile Equations

Profiles initialized with the PRO-create module followed the following equations for the “core” and “edge” regions:

$$\begin{cases} \text{edge}(x) = \frac{p_{\text{ped}} - p_{\text{sep}}}{2 \tanh 1} (\tanh 1 - \tanh(1 + \frac{x-1}{0.5w})) + p_{\text{sep}} & \text{for } x \geq (1-w) \\ \text{core}(x) = \text{edge}(x) + (p_{\text{core}} - c_{\text{adj}}) (1 - (\frac{x}{1-w})^{\alpha_{\text{in}}})^{\alpha_{\text{out}}} & \text{for } x < (1-w) \end{cases} \quad (\text{B.1})$$

The user-input pedestal width is given by w . The variables p_{core} , p_{ped} , and p_{sep} are input by the user as the values of the profile at $\psi_{\text{N}} = 0$, $\psi_{\text{N}} = 1 - w$, and $\psi_{\text{N}} = 1.0$, respectively. The variable c_{adj} is an adjustment to the core given by the equation

$$c_{\text{adj}} = \frac{p_{\text{ped}} - p_{\text{sep}}}{2 \tanh 1} \left(\tanh 1 - \tanh \left(1 - \frac{1}{0.5w} \right) \right) + p_{\text{sep}}. \quad (\text{B.2})$$

The shaping factors α_{in} and α_{out} were set to 1.1 and 1.1 respectively for density profile initialization and to 1.2 and 1.4 respectively for temperature profile initialization in all simulations in this work. The pedestal width w is 0.1 for all simulations in this work, and the input p_{ped} was used as a proxy for scanning the profile boundary condition for density and temperature as in section 3. This is because changes in $n_{\text{e,ped}}$ and T_{ped} correspond to changes in $n_{\text{e},0.8}$ and $T_{0.8}$, respectively, but do not overly constrain the self-consistent evolution of the boundary condition.